

January 16, 2018

Reporting Guidelines Regarding University Policies

Lewis University remains proactive in providing an ethical and safe environment as an educational institution with a Catholic and Lasallian heritage based on the teachings of Saint John Baptist de La Salle, founder of the De La Salle Christian Brothers that sponsor the University. In compliance with governmental regulations, Lewis has established guidelines that provide procedures in reporting certain issues that may affect those involved with the University, including students, faculty, staff, administrators, parents, alumni, vendors, Trustees, and others from the community to assist in reporting matters which may merit explanation, investigation or action. Procedures have been developed regarding matters related to fraud, harassment, improprieties, health and safety, academics, and violations of law that should be promptly and appropriately resolved. The following reporting procedures have been established to address anticipated concerns:

For **health, well-being, or safety concerns** regarding any individuals or areas on campus, please share your concerns or observations by contacting Health & Counseling Services, Student Services, Campus Police or by completing the ACT (Assessment & Care Team) referral form via the following link: [Student Concern Faculty/Staff Concern](#)

For **student issues** (i.e. inappropriate student behavior or circumstances, or if students have special needs that need addressing), please contact Kathy Slattery, Dean of Student Services at 815.836.5278 or slatteka@lewisu.edu or, if more appropriate, complete the ACT (Assessment & Care Team) referral form via the following link: [Student Concern Faculty/Staff Concern](#). If you feel a student is in danger because of this behavior, contact the University Police immediately at 815.834.4222 or 815.836.5222.

For concerns regarding **academic matters**, including program requirements, faculty, or other concerns, please write, e-mail or call to contact the respective College Dean:

Dr. Bonnie Bondavalli, Dean College of Arts & Sciences at 815.836.5240
or bondavbo@lewisu.edu

Ryan Butt, Dean College of Business at 815.836.5267 or rbutt@lewisu.edu

Dr. Peggy Rice, Dean College of Nursing at 815.836.5245 or ricepe@lewisu.edu

Dr. Pamela Jessee, Dean College of Education at 815.836.5842 or jesseepa@lewisu.edu

Dr. Anne Rapp, Interim Dean of Graduate, Professional and Continuing Education at 815.836.5778 or rappan@lewisu.edu

For **Title IX Compliance matters** (sex discrimination), please [click here](#) or contact Graciela Dufour, Associate Vice President for Human Resources/Title IX Compliance Officer, at 815.836.5548 or dufourgr@lewisu.edu.

In regard to the **athletic program or NCAA issues**, please [click here](#) or contact Barbara “Annie” Coryell, Director of Compliance at 815.836.5612 or coreyelba@lewisu.edu.

For **general institutional complaints**, please submit written and signed correspondence to an institutional officer with the responsibility to handle the complaint. If parents, or community members need assistance in determining the appropriate institutional officer, they should contact Kathy Slattery, Dean of Student Services at 815.836.5278 or slatteka@lewisu.edu. Student complaints should be

submitted through the Student Complaint process coordinated by the Office of the Provost, or at lewisu.edu/studentcomplaints.

For **human resource matters** (i.e. harassment, improper employee behavior), please contact Graciela Dufour, Associate Vice President for Human Resources/Title IX Compliance Officer, at 815.836.5548 or dufourgr@lewisu.edu or The Compliance Partners (TCP) as described below.

For **business related matters**, including but not limited to fraud, bribery, conflict of interest, please click [here](#) for Whistleblower page. You may also contact either Dr. David Livingston, President at 815.836.5230 or dlivingston@lewisu.edu; Carolyn Head, Senior Vice President/Chief Financial Officer at 815.836.5201 or chead@lewisu.edu; to report your concerns. The [University Whistleblower Policy](#) allows faculty, staff, students, officers or trustees, vendors, consultants and anyone else doing business with the University, the opportunity to inform University officials of any improprieties. The Whistleblower Policy allows matters to remain confidential. Any investigative activity required will be conducted without regard to the suspected wrongdoer's length of service, position/ title, or relationship with the University.

While the above established lines of communication should address most concerns, there may be occasion when it does not. If you need to immediately report your situation and cannot utilize one of the above resources to resolve your concern, the University has engaged the services of The Compliance Partners (TCP) located in Houston, Texas. TCP provides for the University and other entities throughout the world, a confidential vehicle to report items related to fraud, improprieties, theft, or any other serious matter. This vehicle is available when established lines of communication fail, you wish to maintain anonymity, or you feel more comfortable reporting the problem to a third party.

To contact TCP, you may: Phone: 877-506-8720
 Fax: 866-332-2699
 Internet: www.thecompliancepartners.com/lewisu
 E-mail: lewisu@answernet.com

In the event that you encounter a serious situation which requires immediate police intervention, please call the Lewis University Police at 815.834.4222 or 815.836.5222.