

Financial Conflict of Interest Disclosure Form

- Confidential -

PLEASE PRINT

Name:	
Rank/Title:	
Department:	
Email:	Campus Phone:

Question: During the last calendar year (January through December), did you, your spouse, domestic partner and/or dependent children, alone or in combination, have significant financial interest in any domestic or foreign, public or private entity (excluding Lewis University or any organization controlled by the university)?

A significant financial interest involves:

- (a) receiving compensation during the year over \$5,000 from any publicly or privately-held organization or
- (b) having an equity interest over \$5,000 in a publicly held company or *any* equity interest in a privately held company or
- (c) receiving intellectual property income not paid by Lewis University pursuant to the university's established policy for intellectual property.
- (d) Receiving travel reimbursement other than travel paid by Lewis University in the normal course of employment by the university, or by an external entity as part of an established grant

_____ **NO** Your disclosure is complete. Please sign and submit this form.

_____ **YES** Sign this form ***and*** complete a FCOI Disclosure Attachment for *each* external entity in which there is a significant financial interest and submit all forms together.

I agree to abide by Lewis University's Financial Conflict of Interest policy. In submitting this form and disclosure attachments, if required, I certify that the information provided is true to the best of my knowledge. I supply this information for confidential review by Lewis University, and for such other limited purposes as are required by law, regulation, or contract. I do not authorize release of any of it for any other purpose. I understand and agree that if there is a material change (an acquisition of a significant financial interest) to this information, I must submit a new disclosure and attachment within 30 days of that change.

Signature: _____ Date: _____

SUBMIT THIS FORM:

Via Campus Mail/U.S. Mail: Lewis University; Office of Sponsored Programs, One University Parkway; Mailbox 993, Romeoville, IL 60446 Attn: Director, OSP

Via Email: Send to William Siler, Director of Sponsored Programs (wsiler@lewisu.edu). If you choose to submit via email, please be advised that email is not a secure or confidential communication medium. By submitting your FCOI form by email, you acknowledge that the University cannot guarantee the security or confidentiality of the email, and you assume all risk of loss.